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To cite this article: Rosário Fernandes & Susana Furtado (2020): Extremal matrices for the Bruhat-graph order, Linear and Multilinear Algebra, DOI: [10.1080/03081087.2020.1749540](https://doi.org/10.1080/03081087.2020.1749540)

To link to this article: <https://doi.org/10.1080/03081087.2020.1749540>



Published online: 07 Apr 2020.



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Extremal matrices for the Bruhat-graph order

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ABSTRACT

We consider the class $\mathcal{A}_{\text{sym}}^0(n, k)$ of symmetric $(0, 1)$ -matrices with zero trace and constant row sums k which can be identified with the class of the adjacency matrices of k -regular undirected graphs. In a previous paper, two partial orders, the Bruhat and the Bruhat-graph order, have been introduced in this class. In fact, when $k = 1$ or $k = 2$, it was shown that the two orders coincide, while for $k \geq 3$ the two orders are distinct. In this paper we give general properties of minimal and maximal matrices for these orders on $\mathcal{A}_{\text{sym}}^0(n, k)$ and study the minimal and maximal matrices when $k = 1, 2$ or 3 .

ARTICLE HISTORY

Received 24 May 2019
Accepted 15 March 2020

COMMUNICATED BY

J. Y. Shao

KEYWORDS

$(0, 1)$ -Matrices; Bruhat order; minimal matrices; maximal matrices; symmetric matrices

AMS SUBJECT CLASSIFICATIONS

05B20; 06A07

1. Introduction

For any n -by- n matrix $A = [a_{ij}]$, let Σ_A denote the n -by- n matrix whose (r, s) -entry, with $1 \leq r, s \leq n$, is

$$\sigma_{r,s}(A) = \sum_{i=1}^r \sum_{j=1}^s a_{ij}.$$

Let $R = (r_1, \dots, r_n)$ be a positive integral vector. We denote by $\mathcal{A}(R)$ the class of all n -by- n $(0, 1)$ -matrices whose row sum and column sum vectors are R . When $r_i = k$, for $i = 1, \dots, n$, we denote $\mathcal{A}(R)$ by $\mathcal{A}(n, k)$.

For $A_1, A_2 \in \mathcal{A}(R)$, we say that A_1 precedes A_2 in the Bruhat order on $\mathcal{A}(R)$, and write $A_1 \leq_B A_2$, if, by the entrywise order, $\Sigma_{A_1} \geq \Sigma_{A_2}$. If $A_1 \neq A_2$, we write $A_1 <_B A_2$. The Bruhat order for $(0, 1)$ -matrices in the classes $\mathcal{A}(R)$ is a pre-order that has been extensively investigated [1–7].

In [3] the secondary Bruhat order was formally defined. For $A_1, A_2 \in \mathcal{A}(R)$, we say that A_1 precedes A_2 in the secondary Bruhat order if A_1 is obtained from A_2 by a sequence of interchanges

$$L_2 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \rightarrow I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix},$$

that replaces a submatrix of order 2 equal to L_2 by I_2 .

It is easy to see that, if $A_1, A_2 \in \mathcal{A}(R)$ and A_1 precedes A_2 in the secondary Bruhat order, then $A_1 \preceq_B A_2$.

In [8], the authors studied the Bruhat and secondary Bruhat orders restricted to the class of n -by- n symmetric $(0, 1)$ -matrices A with zero trace and row sum vector R , denoted by $\mathcal{A}_{\text{sym}}^0(R)$. This class can be identified with the class of adjacency matrices of undirected graphs $G(A)$ with n vertices and degree sequence R (see [8] for details).

Let $A_1, A_2 \in \mathcal{A}_{\text{sym}}^0(R)$. We say that A_1 is obtained from A_2 by a switch if A_1 and A_2 are equal except in a 4-by-4 submatrix which is replaced by another matrix in three possible ways:

$$F_{S_1} := \begin{bmatrix} 0 & * & 0 & 1 \\ * & 0 & 1 & 0 \\ 0 & 1 & 0 & * \\ 1 & 0 & * & 0 \end{bmatrix} \leftrightarrow \begin{bmatrix} 0 & * & 1 & 0 \\ * & 0 & 0 & 1 \\ 1 & 0 & 0 & * \\ 0 & 1 & * & 0 \end{bmatrix} =: B_{S_1} \quad (1)$$

$$F_{S_2} := \begin{bmatrix} 0 & 0 & 1 & * \\ 0 & 0 & * & 1 \\ 1 & * & 0 & 0 \\ * & 1 & 0 & 0 \end{bmatrix} \leftrightarrow \begin{bmatrix} 0 & 1 & 0 & * \\ 1 & 0 & * & 0 \\ 0 & * & 0 & 1 \\ * & 0 & 1 & 0 \end{bmatrix} =: B_{S_2} \quad (2)$$

$$F_{S_3} := \begin{bmatrix} 0 & 0 & * & 1 \\ 0 & 0 & 1 & * \\ * & 1 & 0 & 0 \\ 1 & * & 0 & 0 \end{bmatrix} \leftrightarrow \begin{bmatrix} 0 & 1 & * & 0 \\ 1 & 0 & 0 & * \\ * & 0 & 0 & 1 \\ 0 & * & 1 & 0 \end{bmatrix} =: B_{S_3}, \quad (3)$$

where $*$ denotes an unspecified entry. In each of (1), (2) and (3), we say that the matrix on the right is obtained from the matrix on the left by a *forward switch*, and the matrix on the left is obtained from the matrix on the right by a *backward switch*. The same terminology is used when these 4-by-4 matrices are principal submatrices of n -by- n symmetric matrices.

Let $A, C \in \mathcal{A}_{\text{sym}}^0(R)$, we say that A precedes C in the *Bruhat-graph order*, and we write $A \preceq_{BG} C$, if A is obtained from C by a sequence of forward switches.

The Bruhat-graph order is the restriction of the secondary Bruhat order, on $\mathcal{A}_{\text{sym}}^0(R)$, [8].

It follows easily that if $A_1 \preceq_{BG} A_2$, then $A_1 \preceq_B A_2$. In [8] the authors showed that the two orders coincide on $\mathcal{A}_{\text{sym}}^0(n, k)$, for $k = 1, 2$. We observe that if k is odd and $\mathcal{A}_{\text{sym}}^0(n, k)$ is non-empty then n is even.

In this paper we identify minimal and maximal matrices for the Bruhat-graph order on the classes $\mathcal{A}_{\text{sym}}^0(n, k)$ for $k = 1, 2, 3$. We give a complete answer when $k = 1, 2$ and, in the case of minimal matrices, when $k = 3$. In all cases the minimal matrices for the Bruhat and Bruhat-graph orders coincide. When $k = 3$ we give a class of maximal matrices for the Bruhat-graph and Bruhat order and we conjecture that there are no maximal matrices beyond those.

The *complementary class* of $\mathcal{A}_{\text{sym}}^0(n, k)$ is the class $\mathcal{A}_{\text{sym}}^0(n, n - k - 1)$. If $A \in \mathcal{A}_{\text{sym}}^0(n, k)$, we write A^c for the corresponding matrix $A^c = (J_n - I_n) - A$ in the complementary class $\mathcal{A}_{\text{sym}}^0(n, n - k - 1)$. If $A_1, A_2 \in \mathcal{A}_{\text{sym}}^0(n, k)$ are such that $A_1 \preceq_B A_2$

(respectively, $A_1 \leq_{BG} A_2$), then $A_2^c \leq_B A_1^c$ (respectively, $A_2^c \leq_{BG} A_1^c$). Thus the problem of identifying the minimal matrices in one of our classes is equivalent to identifying the maximal matrices in its complementary class.

The paper is organized as follows. In Section 2 we give some general properties of minimal and maximal matrices for the Bruhat-graph order (and Bruhat order) on $\mathcal{A}_{\text{sym}}^0(R)$ that will be crucial in identifying the extremal matrices in the classes we consider. In Sections 3–5 we consider the problem of identifying the minimal and maximal matrices in the classes $\mathcal{A}_{\text{sym}}^0(n, k)$ for $k = 1, 2, 3$, respectively. Finally, in Section 6, we give some concluding remarks.

Here and throughout, we will use the following notation: given an n -by- n matrix A , we denote the submatrix of A indexed by rows i_1, \dots, i_p and columns j_1, \dots, j_s by $A[\{i_1, \dots, i_p\}; \{j_1, \dots, j_s\}]$. If $\{i_1, \dots, i_p\} = \{j_1, \dots, j_s\}$, we simply write $A[\{i_1, \dots, i_p\}]$.

For $i \geq 2$, we denote by L_i the i -by- i permutation matrix with 1's on the antidiagonal and by J_i the i -by- i matrix with all entries equal to one.

2. Properties of extremal matrices

In this subsection we present some properties of the minimal and maximal matrices for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$. Theorems 2.4 and 2.6 will be crucial in the proofs of the results in the rest of the paper.

We first make some observations that will be useful throughout.

Remark 2.1: If $A \in \mathcal{A}_{\text{sym}}^0(R)$ is a minimal (resp. maximal) matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(R)$, then A is a minimal (resp. maximal) matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$. Moreover, if A is the unique minimal (resp. maximal) matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$, then A also is the unique minimal (resp. maximal) matrix for the Bruhat order on the same class.

Remark 2.2: Let $A \in \mathcal{A}_{\text{sym}}^0(R)$ be an n -by- n matrix, where R is a positive integral vector of size n . Then, the following are equivalent:

- A is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$;
- if $A[\{i, j\}; \{k, l\}] = L_2$, with $1 \leq i < j \leq n$ and $1 \leq k < l \leq n$, then $i = k$ or $j = l$.

Recall that a minimal matrix for the Bruhat order on a class $\mathcal{A}(R)$ cannot contain a submatrix equal to L_2 [3].

The following technical result will be used in the proof of Theorem 2.4.

Lemma 2.3: Let $A = [a_{ij}]$ be a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$, where R is a non-increasing positive integral vector of size n . Let p, r, q be integers such that either $1 \leq p < q < r \leq n$ or $1 \leq q < r \leq p \leq n$. Let b_q and b_r be 0 if $p = 1$ and, otherwise, be the sum of the columns of $A[\{1, \dots, p-1\}; \{q, r\}]$. If $b_q \leq b_r$ then $a_{pq} = 1$ or $a_{pr} = 0$.

Proof: Suppose that $a_{pq} = 0$ and $a_{pr} = 1$. Then $p \neq r$. Since A has non-increasing column sums and $b_q \leq b_r$, there exists $j > p$ such that $a_{jq} = 1$ and $a_{jr} = 0$. Since $A[\{p, j\}; \{q, r\}] = L_2$ and $p \neq q$, by Remark 2.2 it follows that $r = j > p$, implying that $1 \leq p < q < r \leq n$.

By symmetry, $a_{qr} = 1$. Note that $a_{qq} = 0$. But then, since A has non-increasing column sums, there should exist $j' > p$, with $j \neq j'$, such that $a_{j'q} = 1$ and $a_{j'r} = 0$, implying that $A[\{p, j'\}; \{q, r\}] = L_2$, a contradiction. ■

Theorem 2.4: *Let $A \in \mathcal{A}_{\text{sym}}^0(R)$ be a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$, where R is a non-increasing positive integral vector of size n . Let i be an integer with $1 \leq i \leq (n - 1)$, and let A_i be the i -by- n leading submatrix of A . For $k = 1, \dots, n$, let b_k be the sum of the entries of the k th column of A_i . Then $b_1 \geq \dots \geq b_i \geq b_{i+1} - 1$ and $b_{i+1} \geq \dots \geq b_n$.*

Proof: The proof is by induction on i . If $i = 1$ the result follows easily from Lemma 2.3. Now suppose that $i > 1$ and let j be such that $1 \leq j < n$. Let $b_j^{(i-1)}$ and $b_{j+1}^{(i-1)}$ denote the sum of the entries in columns j and $j + 1$ of the $(i - 1)$ -by- n leading submatrix of A . By the induction hypothesis, $b_j^{(i-1)} \geq b_{j+1}^{(i-1)}$ if $j \neq i - 1$, and $b_j^{(i-1)} \geq b_{j+1}^{(i-1)} - 1$ if $j = i - 1$.

If $j \neq i - 1, i$, using Lemma 2.3 in case $b_j^{(i-1)} = b_{j+1}^{(i-1)}$, it follows that $b_j^{(i)} \geq b_{j+1}^{(i)}$. The inequality $b_i^{(i)} \geq b_{i+1}^{(i)} - 1$ follows because $b_i^{(i-1)} \geq b_{i+1}^{(i-1)}$. Now we show that $b_{i-1}^{(i)} \geq b_i^{(i)}$. If $i = 2$ this inequality follows easily from Lemma 2.3. Suppose that $i > 2$. By the induction hypothesis, $b_{i-1}^{(i-2)} \geq b_i^{(i-2)}$, where $b_{i-1}^{(i-2)}$ and $b_i^{(i-2)}$ denote the sum of the entries in columns $i-1$ and i of the $(i - 2)$ -by- n leading submatrix of A . Thus, using symmetry and the fact that the diagonal entries of A are 0, it follows that either $b_{i-1}^{(i)} = b_{i-1}^{(i-2)}$ and $b_i^{(i)} = b_i^{(i-2)}$, or $b_{i-1}^{(i)} = b_{i-1}^{(i-2)} + 1$ and $b_i^{(i)} = b_i^{(i-2)} + 1$. In any case, $b_{i-1}^{(i)} \geq b_i^{(i)}$. ■

Note that, in contrast with Theorem 2.4, the sum of the columns of any leading submatrix of a minimal matrix for the Bruhat order on $\mathcal{A}(R)$ [3], and on the class of symmetric matrices in $\mathcal{A}(R)$ [4], is non-increasing, where R is a non-increasing positive integral vector.

We now focus on maximal matrices. We have an analogous of Remark 2.2.

Remark 2.5: Let $A \in \mathcal{A}_{\text{sym}}^0(R)$ be an n -by- n matrix, where R is a positive integral vector of size n . Then, the following are equivalent:

- A is a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$;
- if $A[\{i, j\}; \{k, l\}] = I_2$, with $1 \leq i < j \leq n$ and $1 \leq k < l \leq n$, then $i = l$ or $j = k$.

From Theorem 2.4 and the relation between maximal and minimal matrices described in the Introduction, we obtain the following result.

Theorem 2.6: *Let $A \in \mathcal{A}_{\text{sym}}^0(R)$ be a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$, where R is a non-increasing positive integral vector of size $n > 2$. Let $i = 2, \dots, n$ and let A_i be the i -by- n leading submatrix of A . For $k = 1, \dots, n$, let b_k be the sum of the entries of the k th column of A_i . Then, $b_1 \leq \dots \leq b_{i-2} \leq b_{i-1} \leq b_i + 1$ and $b_i \leq \dots \leq b_n$.*

We now give some results regarding the construction of extremal matrices from smaller matrices. The next lemma, which can be easily proved, states that the direct sum of two

minimal matrices for the Bruhat-graph order is a minimal matrix in the corresponding class.

Lemma 2.7: Let $R_1 = (r_{11}, \dots, r_{1s_1})$ and $R_2 = (r_{21}, \dots, r_{2s_2})$ be two non-increasing positive integral vectors such that $r_{1s_1} \geq r_{21}$. Let $A \in \mathcal{A}_{\text{sym}}^0(R_1)$ and $C \in \mathcal{A}_{\text{sym}}^0(R_2)$. Then, the following are equivalent:

- $A \oplus C$ is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0((R_1, R_2))$
- A is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R_1)$ and C is a minimal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(R_2)$.

The next result provides another way of obtaining minimal matrices for the Bruhat-graph order on a class $\mathcal{A}_{\text{sym}}^0(R)$ from minimal matrices of smaller size.

Lemma 2.8: Let $R_1 = (r_{11}, \dots, r_{1s_1})$ and $R_2 = (r_{21}, \dots, r_{2s_2})$ be two vectors of non-negative integers and let $R = (r_{11}, \dots, r_{1s_1} + 1, r_{21} + 1, \dots, r_{2s_2})$ be obtained by joining R_2 to R_1 after increasing r_{1s_1} and r_{2s_2} by 1. If A_1 is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R_1)$ and A_2 is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R_2)$, then

$$A = \begin{bmatrix} A_1 & A_3 \\ A_3^T & A_2 \end{bmatrix}, \text{ where } A_3 = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \end{bmatrix},$$

is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(R)$.

Proof: The result follows by Remark 2.2 noting that the only submatrix L_2 of A not contained in A_1 nor in A_2 is the one lying in rows and columns $s_1, s_1 + 1$. ■

Let C and D be k -by- k and l -by- l matrices, respectively. Then their *skew-direct sum* is defined as the $(k + l) \times (k + l)$ -matrix

$$C \oplus' D := \left[\begin{array}{c|c} O_{kl} & C \\ \hline D & O_{lk} \end{array} \right].$$

Taking into account Remark 2.5 we obtain the following.

Lemma 2.9: Let $A \in \mathcal{A}(n_1, k)$ and $C \in \mathcal{A}_{\text{sym}}^0(n_2, k)$. Then, the following are equivalent:

- A is a maximal matrix for the secondary Bruhat order on $\mathcal{A}(n_2, k)$ and C is a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n_2, k)$
- $A^T \oplus' C \oplus' A$ is a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(2n_1 + n_2, k)$.

Remark 2.10: Using the definition of \leq_B , it can be easily verified that Lemmas 2.7, 2.8 and 2.9 remain valid if Bruhat-graph order and secondary Bruhat order are replaced by Bruhat order.

3. Extremal matrices on $\mathcal{A}_{\text{sym}}^0(n, 1)$

A matrix $A \in \mathcal{A}_{\text{sym}}^0(n, 1)$ is a symmetric permutation matrix of order n with zero trace. Hence n is even, A is the adjacency matrix of the union of the complete graphs K_2 , and A is a product of disjoint transpositions.

In [8], it is shown that the Bruhat and Bruhat-graph order coincide on the class $\mathcal{A}_{\text{sym}}^0(n, 1)$.

Theorem 3.1: *Let $n \geq 2$ be an even integer. Then $L := L_2 \oplus L_2 \oplus \cdots \oplus L_2$ is the unique minimal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 1)$, and L_n is the unique maximal matrix.*

Proof: Let $B \in \mathcal{A}_{\text{sym}}^0(n, 1)$. Let $i, j \in \{1, \dots, n\}$. If either $i \neq j$ or $i = j$ is even, then $\sigma_{ij}(L) = \min\{i, j\}$ and, thus, $\sigma_{ij}(B) \leq \sigma_{ij}(L)$. If $i = j$ is odd, then $\sigma_{ij}(L) = i - 1$, which, since i is odd, is the maximum number of 1's possible for an i -by- i principal submatrix of a matrix in $\mathcal{A}_{\text{sym}}^0(n, 1)$. Thus, in this case we also have $\sigma_{ii}(B) \leq \sigma_{ii}(L)$. We then have $L \preceq_B B$. Hence, L is the unique minimal matrix.

In [3] it was mentioned that $J_n - L_n$ is the unique minimal matrix in $\mathcal{A}(n, n - 1)$. From a comment in [1], it follows that L_n is the unique maximal matrix for the Bruhat order on $\mathcal{A}(n, 1)$. Since $L_n \in \mathcal{A}_{\text{sym}}^0(n, 1)$, it follows that L_n is the unique maximal matrix. ■

4. Extremal matrices on $\mathcal{A}_{\text{sym}}^0(n, 2)$

A matrix $A \in \mathcal{A}_{\text{sym}}^0(n, 2)$ is the adjacency matrix of the union of cycles. If $n = 3$, then $\mathcal{A}_{\text{sym}}^0(3, 2)$ contains a unique matrix, which is the adjacency matrix of a cycle with 3 vertices. A matrix in $\mathcal{A}_{\text{sym}}^0(4, 2)$ is the adjacency matrix of a cycle with 4 vertices.

In [8], it is shown that the Bruhat and Bruhat-graph order coincide on the class $\mathcal{A}_{\text{sym}}^0(n, 2)$.

4.1. Minimal matrices

The next result describes the minimal matrices for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 2)$. In particular, it follows that for $3 \leq n \leq 6$, there is a unique minimal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 2)$.

Theorem 4.1: *Let $n \geq 3$ be an integer. A matrix in $\mathcal{A}_{\text{sym}}^0(n, 2)$ is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 2)$ if and only if it is a direct sum of the matrices*

$$M_1 = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}, \quad M_2 = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}, \quad M_3 = \begin{bmatrix} 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix}.$$

Proof: The matrices M_1 , M_2 and M_3 are the unique matrices that are obtained by application of Remark 2.2 and Theorem 2.4 and that cannot be written as direct sums of smaller matrices. By Remark 2.2, they are minimal for the Bruhat-graph order. Now the claim follows from Lemma 2.7. ■

Note that any integer $n \geq 3$ can be written as $3p + 4q + 5r$, for some non-negative integers p, q, r .

4.2. Maximal matrices

We next describe the maximal matrices for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 2)$.

Theorem 4.2: *Let $n \geq 3$ be an integer. A matrix in $\mathcal{A}_{\text{sym}}^0(n, 2)$ is a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 2)$ if and only if it is of the form*

$$A_1 \oplus' \cdots \oplus' A_r \oplus' A_r \oplus' \cdots \oplus' A_1, \quad r \geq 1,$$

or

$$A_1 \oplus' \cdots \oplus' A_r \oplus' B \oplus' A_r \oplus' \cdots \oplus' A_1, \quad r \geq 0,$$

where $A_i \in \{M_1, J_2\}$, $i = 1, \dots, r$ and $B \in \{M_1, M_4\}$, with

$$M_1 = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \quad \text{and} \quad M_4 = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \end{bmatrix}.$$

Proof: By Remark 2.5, the matrices M_1 and M_4 are maximal for the Bruhat-graph order. On the other hand, the matrix J_2 and M_1 are maximal for the secondary Bruhat order. Using Lemma 2.9, the claimed skew-direct sum of them is maximal for the Bruhat-graph order.

Conversely, suppose that A is a maximal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 2)$. Then, by Theorem 2.6, A has the form

$$A = \begin{bmatrix} 0 & 0 & 0 & \cdots & 0 & 1 & 1 \\ 0 & 0 & & & & & \\ 0 & & & & & & \\ 1 & & & & & & \\ 1 & & & & & & \end{bmatrix}.$$

Case (1) Suppose that $a_{2,n} = 1$.

Case (1.1) Suppose that $a_{2,n-1} = 1$. Thus, $A = J_2 \oplus' A' \oplus' J_2$, for some (possibly empty) matrix A' which, by Theorem 2.9, is maximal for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n-4, 2)$.

Case (1.2) Suppose that $a_{2,n-1} = 0$. If $n = 3$, then $A = M_1$. Now suppose that $n > 3$. Then

$$A = \begin{bmatrix} & & & & 0 & 1 & 1 \\ & & & & 1 & 0 & 1 \\ & & & & & & \\ 0 & 1 & & & & & \\ 1 & 0 & & & & & \\ 1 & 1 & & & & & \end{bmatrix}.$$

a contradiction. Analogously, if $p = n-3$ then $a_{p,n-2} = 1$. Since $a_{p,n-1} = 1$ and $a_{p,2} = a_{2,p} = 1$, row p would have more than 2 ones, a contradiction. ■

Example 4.3: Let $n = 8$. Then $n = 3 + 5 = 4 + 4$. By Theorem 4.1 there are then three minimal matrices for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(8, 2)$: $M_2 \oplus M_2$, $M_1 \oplus M_3$ and $M_3 \oplus M_1$. There is a unique maximal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(8, 2)$, namely

$$D = J_2 \oplus' J_2 \oplus' J_2 \oplus' J_2.$$

In fact, the matrix $C = J_2 \oplus J_2 \oplus J_2 \oplus J_2$ is the unique minimal matrix for the Bruhat order on $\mathcal{A}(8, 2)$ [2]. Thus, $L_8 C = D$ is the unique maximal matrix on $\mathcal{A}(8, 2)$ and hence the unique maximal matrix on $\mathcal{A}_{\text{sym}}^0(8, 2)$.

5. Extremal matrices on $\mathcal{A}_{\text{sym}}^0(n, 3)$

In this section we describe the minimal matrices and a class of maximal matrices for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$, $n \geq 4$. Note that in this case n is even.

5.1. Minimal matrices

In Theorem 5.3, we describe the minimal matrices for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$. We make use of the following six matrices:

$$X = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}, \quad Y = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{bmatrix},$$

$$H = \begin{bmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 \end{bmatrix},$$

$$E = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 \end{bmatrix}, \quad F = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix},$$

$$G = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}.$$

We also consider the i -by- i matrices

$$K_i = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \end{bmatrix}.$$

If the order of K_i is clear from the context, we write just K instead of K_i .

Proposition 5.1: *The matrices X, Y, E, F, G and H are minimal for the Bruhat order, and, therefore, for the Bruhat-graph order, on their corresponding classes $\mathcal{A}_{\text{sym}}^0(R)$.*

Proof: The result can be easily verified by assuming that, for each $A \in \{X, Y, E, F, G, H\}$, there is a matrix $B \in \mathcal{A}_{\text{sym}}^0(R)$ such that $B <_B A$ and using the definition of $<_B$ in order to get a contradiction. ■

To characterize the minimal matrices in $\mathcal{A}_{\text{sym}}^0(n, 3)$, we first prove the following lemma.

Lemma 5.2: *Let $A \in \mathcal{A}_{\text{sym}}^0(n, 3)$ be a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$ of the form*

$$A = \begin{bmatrix} A_1 & K \\ K^T & B \end{bmatrix}, \quad (4)$$

where A_1 is square. Then either $B = B_1 \oplus B_2$, with $B_1 \in \{L_7EL_7, L_5FL_5\}$ (B_2 may be empty), or

$$B = \begin{bmatrix} C_1 & K \\ K^T & C_2 \end{bmatrix},$$

with $C_1 \in \{G, H\}$.

Proof: Suppose that $A \in \mathcal{A}_{\text{sym}}^0(n, 3)$ is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$ as in (4). Let $B = [b_{ij}]$. By Theorem 2.4 and symmetry,

$$B = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & & \\ 1 & & & \\ 0 & & & \end{bmatrix}.$$

Case (1) Suppose that $b_{23} = 0$.

Moreover, $b_{46} = 1$, as otherwise $B[\{2, 3, 4, 6\}]$ has the form F_{S_2} . Thus,

$$B = \begin{bmatrix} 0 & 1 & 1 & & & & \\ 1 & 0 & 0 & 1 & 1 & & \\ 1 & 0 & 0 & 1 & 0 & 1 & \\ & 1 & 1 & 0 & 0 & 1 & \\ 0 & 1 & 0 & 0 & 0 & & \\ & & 1 & 1 & & 0 & \end{bmatrix},$$

a contradiction since $B[\{2, 3, 4, 5\}]$ has the form F_{S_3} .

Case (2) Suppose that $b_{23} = 1$. We have, by Theorem 2.4,

$$B = \begin{bmatrix} 0 & 1 & 1 & & & \\ 1 & 0 & 1 & 1 & & \\ 1 & 1 & 0 & & & \\ & & 1 & & & \end{bmatrix}.$$

Case (2.1) Suppose that $b_{34} = 1$. Then

$$B = \begin{bmatrix} 0 & 1 & 1 & & & \\ 1 & 0 & 1 & 1 & & \\ 1 & 1 & 0 & 1 & & \\ & 1 & 1 & 0 & 1 & \\ & & & 1 & & \end{bmatrix} = \begin{bmatrix} G & K \\ K^T & C_2 \end{bmatrix},$$

for some matrix C_2 .

Case (2.2) Suppose that $b_{34} = 0$. Then $b_{46} = 1$. Moreover, $b_{56} = 1$, otherwise $B[\{3, 4, 5, 6\}]$ has the form F_{S_2} . Thus,

$$B = \begin{bmatrix} 0 & 1 & 1 & & & & \\ 1 & 0 & 1 & 1 & 0 & & \\ 1 & 1 & 0 & 0 & 1 & & \\ & 1 & 0 & 0 & & 1 & \\ 0 & 1 & & 0 & 1 & & \\ & & & 1 & 1 & 0 & \end{bmatrix}.$$

Case (2.2.1) Suppose that $b_{45} = 0$. Then

$$B = \begin{bmatrix} 0 & 1 & 1 & & & & \\ 1 & 0 & 1 & 1 & 0 & & \\ 1 & 1 & 0 & 0 & 1 & & \\ & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & \\ & & 1 & 1 & 0 & & \\ & & & 1 & 1 & & 0 \end{bmatrix}.$$

We have $b_{76} = 1$, otherwise $B[\{4, 5, 6, 7\}]$ has the form F_{S_2} . Thus, $B = L_7EL_7 \oplus B_2$, for some matrix B_2 (possibly empty).

Case (2.2.2) Suppose that $b_{45} = 1$. Then $b_{56} = 1$, otherwise $B[\{3, 4, 5, 6\}]$ has the form F_{S_2} . Thus,

$$B = \begin{bmatrix} 0 & 1 & 1 & & & & \\ 1 & 0 & 1 & 1 & 0 & & \\ 1 & 1 & 0 & 0 & 1 & & \\ & 1 & 0 & 0 & 1 & 1 & \\ & 0 & 1 & 1 & 0 & 1 & \\ & & & 1 & 1 & 0 & 1 \\ & & & & & 1 & \\ & & & & & & 1 \end{bmatrix} = \begin{bmatrix} H & K \\ K^T & C_2 \end{bmatrix},$$

for some matrix C_2 . ■

Theorem 5.3: *Let $n \geq 4$ be an even integer. Then, a matrix in $\mathcal{A}_{\text{sym}}^0(n, 3)$ is a minimal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$ if and only if it is a direct sum of the matrices X, Y , and*

$$Z(B_1, C_1, \dots, C_p, B_2) = \begin{bmatrix} B_1 & K & & & & & 0 \\ K^T & C_1 & K & & & & \\ & K^T & C_2 & \ddots & & & \\ & & \ddots & \ddots & K & & \\ & & & K^T & C_p & K & \\ 0 & & & & K^T & B_2 \end{bmatrix}, \quad p \geq 0,$$

where $B_1 \in \{E, F\}$, $B_2 \in \{L_7EL_7, L_5FL_5\}$, $C_i \in \{G, H\}$, $i = 1, \dots, p$.

Proof: If A is a matrix of the claimed form, then by Proposition 5.1, Lemmas 2.7 and 2.8, it follows that A is minimal in $\mathcal{A}_{\text{sym}}^0(n, 3)$.

Now we prove the converse. Let $A = [a_{i,j}]$ be a minimal matrix for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 3)$. By Theorem 2.4, A has the form

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & & & \\ 1 & & & & \\ 1 & & & & \\ 0 & & & & \end{bmatrix}.$$

The next result describes maximal matrices for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$.

Proposition 5.6: *Let $n \geq 4$ be an even integer. A matrix in $\mathcal{A}_{\text{sym}}^0(n, 3)$ is a maximal matrix for the Bruhat-graph order on $\mathcal{A}_{\text{sym}}^0(n, 3)$ if it is of the form*

$$A_1^T \oplus' \cdots \oplus' A_r^T \oplus' A_r \oplus' \cdots \oplus' A_1, \quad r \geq 1,$$

or

$$A_1^T \oplus' \cdots \oplus' A_r^T \oplus' B \oplus' A_r \oplus' \cdots \oplus' A_1, \quad r \geq 0,$$

where $A_i \in \{J_3, J_4 - I_4, W, W', Q_j(j \geq 1)\}$, $i = 1, \dots, r$, and $B \in \{J_4 - I_4, Z, Z'\}$.

Proof: By Remark 2.5, the matrices $J_4 - I_4$, Z and Z' are maximal for the Bruhat-graph order. The matrices J_3 , $J_4 - I_4$, W , W' and Q_j , with $j \geq 1$ are maximal for the secondary Bruhat order. Using Lemma 2.9, the claimed skew-direct sum of them is maximal for the Bruhat-graph order. ■

Using Proposition 5.5 and Remark 2.10, we obtain maximal matrices for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 3)$.

Corollary 5.7: *If A is a matrix of the form described in Proposition 5.6, A is maximal for the Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 3)$.*

We conjecture that $J_4 - I_4$, Z , Z' are the only maximal matrices for the Bruhat-graph order on a class $\mathcal{A}_{\text{sym}}^0(n, 3)$ that cannot be written as skew-direct sums of smaller matrices. In this case, taking into account Proposition 5.5, they are the only maximal matrices for the Bruhat order on a class $\mathcal{A}_{\text{sym}}^0(n, 3)$ with this property. Thus, if our conjecture holds, the maximal matrices for the Bruhat-graph order and Bruhat order on $\mathcal{A}_{\text{sym}}^0(n, 3)$ coincide and are precisely the matrices given in Proposition 5.6.

6. Conclusion

We have studied the minimal and maximal matrices for the Bruhat and Bruhat-graph orders on the class of symmetric $(0, 1)$ -matrices with zero trace and a fixed row sum vector. We have given necessary conditions that should be satisfied by minimal and maximal matrices for these orders. In particular, we have shown that a minimal (maximal) matrix for the Bruhat-graph order may contain an anti-identity (identity) matrix of size 2 only if one of the zeros is on the main diagonal.

In the class of symmetric $(0, 1)$ -matrices with zero trace and constant row sum k , we characterized all the minimal matrices when $k = 1, 2, 3$. We also presented the maximal matrices for $k = 1, 2$ and gave a class of such matrices for $k = 3$ which we conjecture that includes all maximal matrices. In general, it may not be possible to characterize the extremal matrices for general $\mathcal{A}_{\text{sym}}^0(n, 4)$ in any satisfactory way. The following two examples give minimal matrices for $\mathcal{A}_{\text{sym}}^0(2k, k)$ and $\mathcal{A}_{\text{sym}}^0(12, 4)$.

Example 6.1: The following is a minimal matrix for $\mathcal{A}_{\text{sym}}^0(2k, k)$

$$\begin{bmatrix} J_k - I_k & I_k \\ I_k & J_k - I_k \end{bmatrix}.$$

Example 6.2: The following is a minimal matrix for $\mathcal{A}_{\text{sym}}^0(12, 4)$

$$D = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 \end{bmatrix} \oplus \left[\begin{array}{ccc|ccc} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ \hline 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ \hline 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{array} \right].$$

Disclosure statement

No potential conflict of interest was reported by the author(s).

Funding

This work was partially supported by the Fundação para a Ciência e a Tecnologia through the project UID/MAT/00297/2019. Also this work was partially supported by the Fundação para a Ciência e a Tecnologia through the project UID/MAT/04721/2019.

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